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Atty. Dkt. No. 041673-2047

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tuszynski et al.

Title: METHODS FOR MODULATION
OF THE EFFECTS OF AGING
ON THE PRIMATE BRAIN

Appl. No.: 09/730,790

Filing Date: 12/05/2000

Examiner:

Art Unit:

<p align="center">CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below.</p> <p><u>ANITA PATEL</u> (Printed Name)</p> <p><u>[Signature]</u> (Signature)</p> <p><u>JUNE 15 2005</u> (Date of Deposit)</p>

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop Amendment-IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date

6-15-2005

By



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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO

Complete if Known

(use as many sheets as necessary)

Application Number	09/730/790
Filing Date	5/12/2005
First Named Inventor	Mark H. Tuszynski
Group Art Unit	1632
Examiner Name	Shin-Lin Chen, Ph.D.
Attorney Docket Number	041673-2047

[illegible][illegible]Date
Considered

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 2 of 2		Complete if Known Application Number 09/730/790 Filing Date 5/12/2005 First Named Inventor Mark H. Tuszynski Group Art Unit 1632 Examiner Name Shin-Lin Chen, Ph.D. Attorney Docket Number 041673-2047	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A3	Schinstine et al. (1995) Polymer-encapsulated Schwannoma cells expressing human nerve growth factor promote the survival of cholinergic neurons after a fimbria-fomix transaction. Cell Transplantation; 4:93-102	
	A4	Martinez-Serrano et al. (1995) CNS-Derived Neural Progenitor Cells for Gene Transfer of Nerve Growth Factor to the Adult Rat Brain: Complete Rescue of Axotomized Cholinergic Neurons after Transplantation into the Septum. The J. of Neuroscience, 15(8): 5668-5680	
	A5	Rogawski MA (2004) What is the Rational for New Treatment Strategies in Alzheimer's Disease? CNS Spectrums. 9:6-12	
	A6	Counts et al. Reduction of Cortical TrkA but Not p75NTR protein in Early-Stage Alzheimer's Disease. American Neurological Association: 56:520-531	
	A7	Orkin et al., Report and Recommendations of the Panel to assess the NIH investment in Research on Gene Therapy (December 7, 1995) 1-41	
	A8	Marshall. Gene Therapy's Growing Pains (1995) Science 269:1050-1055	
	A9	Reichardt et al. (2004) Going the distance, or not with neurotrophin signals. Cell. 118-141-143	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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